



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/878,859	06/11/2001	Simon Lok	AP33285

21003  
BAKER & BOTTS  
30 ROCKEFELLER PLAZA  
NEW YORK, NY 10112



CONFIRMATION NO. 2657

## FORMALITIES LETTER



\*OC00000007008120\*

Date Mailed: 11/01/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

COPY OF PAPERS  
ORIGINALLY FILED

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 09/17/2001 to the Notice to File Missing Parts (Notice) mailed 08/08/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

AM

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2014210-012402 09878859-012402

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0200 #7 \$ 6360 #17  
RESPONSE - NOTICE OF  
MISSING PARTS/INCOMPLETE REPLY  
PATENT  
Our File No.: AP33285

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Simon Lok et al.

Serial No. : 09/878,859

Examiner: (to be assigned)

Filed : June 11, 2001

Group Art Unit: 2122

For : DISTRIBUTED COMPUTER SYSTEM USING A  
GRAPHICAL USER INTERFACE TOOLKIT

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RESPONSE TO NOTICE TO FILE MISSING PARTS / INCOMPLETE REPLY

November 13, 2001

I hereby certify that this paper is being deposited with the United States Postal  
Service as first class mail in an envelope addressed to:  
Commissioner for Patents, Washington, D.C. 20231

November 13, 2001  
Date of Deposit

Walter Egbert  
Attorney Name

37,317  
PTO Registration No.

[Signature]  
Signature

November 13, 2001  
Date of Signature

Assistant Commissioner for Patents  
Box: Missing Parts  
Washington, DC 20231

Sir:

Responsive to Notice To File Missing Parts mailed on August 8, 2001 and the  
Notice of Incomplete Reply mailed on November 1, 2001, enclosed herewith is a  
Submission of Formal Drawings.

01/28/2002 JADD01 00000099 09878859

01 FC:216

200.00 0P

Also enclosed are:

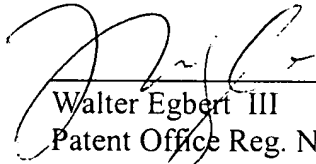
1. ☐ A check in the amount of \$  
☐ \$130 - Other than Small Entity  
☐ \$ 65 - Small Entity  
representing payment of the surcharge  
due for late filing of the Declaration  
pursuant to 37 C.F.R. 1.16(e);
2. ☒ A check for payment of a two-month extension of time to file the Response to  
Notice to File Missing Parts is respectfully requested under the provisions of  
37 CFR §1.136(a). The required fee, calculated pursuant to 37 CFR §1.17(a)(2)  
for a small-entity, is as follows: \$ 200.00
3. ☒ Other Part 2 Copy of Notice to File Missing Parts

TOTAL FEE \$ 200.00

If any additional fees are due in connection with the submission of this paper, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 02-4377. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

BAKER BOTTS LLP

  
Walter Egbert III  
Patent Office Reg. No. 37,317  
Attorney for Applicants  
(212) 408-2561

2014210 65884860



Our File No.: AP33285

Also enclosed are:

1. ☐ A check in the amount of  
☐ \$130 - Other than Small Entity  
☐ \$ 65 - Small Entity  
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3. ☒ Other Part 2 Copy of Notice to File Missing Parts

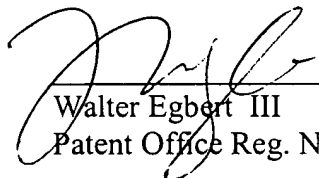
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Respectfully submitted,

BAKER BOTTS LLP

  
\_\_\_\_\_  
Walter Egbert III  
Patent Office Reg. No. 37,317  
Attorney for Applicants  
(212) 408-2561

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Simon Lok et al  
Serial No. : 09/878,859  
Filed : June 11, 2001  
For : DISTRIBUTED COMPUTER SYSTEM USING A  
GRAPHICAL USER INTERFACE TOOLKIT

Examiner: (to be assigned)  
Group Art Unit: 2122



SUBMISSION OF FORMAL DRAWINGS

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

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ORIGINALLY FILED**

November 13, 2001  
Date of Deposit

Walter M. Egbert III  
Attorney Name

Signature

37,317  
PTO Registration No.

November 13, 2001  
Date of Signature

Commissioner for Patents  
Washington, D.C. 20231

Attn: Official Draftsperson

Sir:

Enclosed herewith are eight (8) sheets of formal drawings for the above-identified application. Please substitute these drawings for the drawings which were previously filed.

Respectfully submitted,

Walter M. Egbert III  
Patent Office Reg. No. 37,317  
Attorney for Applicants  
(212) 408-2561

Enclosures